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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|---------------------|------------------|
| 10/580,922   | 03/15/2007  | Barry Slobedman      | SPRUS61.001APC      | 8791             |
| 20995 7590 01/28/2010 KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET |             |                      | EXAMINER            |                  |
|  |             |                      | STOICA, ELLY GERALD |                  |
| FOURTEENTH FLOOR<br>IRVINE, CA 92614                                   |             |                      | ART UNIT            | PAPER NUMBER     |
|  |             |                      | 1647                |                  |
|  |             |                      |                     |                  |
|  |             |                      | NOTIFICATION DATE   | DELIVERY MODE    |
|  |             |                      | 01/28/2010          | ELECTRONIC       |

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

jcartee@kmob.com eOAPilot@kmob.com

|  | Application No.  | Applicant(s)   |  |  |  |  |
|--|--|--|--|--|--|--|
| Office Action Comment  | 10/580,922   | SLOBEDMAN ET AL.                                       |  |  |  |  |
| Office Action Summary  | Examiner   | Art Unit   |  |  |  |  |
|  | ELLY-GERALD STOICA   | 1647   |  |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply   | ears on the cover sheet with the c   | orrespondence address                                  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI | L. viely filed the mailing date of this communication. |  |  |  |  |
| Status   |  |  |  |  |  |  |
| 1) Responsive to communication(s) filed on   |  |  |  |  |  |  |
|  | -·<br>action is non-final.   |  |  |  |  |  |
|  | <del>_</del>   |  |  |  |  |  |
| •  | closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  |  |  |  |  |  |
| ·  | ,  |  |  |  |  |  |
| Disposition of Claims  |  |  |  |  |  |  |
| 4)⊠ Claim(s) <u>1-28 and 30-57</u> is/are pending in the a   | I)⊠ Claim(s) <u>1-28 and 30-57</u> is/are pending in the application.  |  |  |  |  |  |
|  | 4a) Of the above claim(s) is/are withdrawn from consideration.   |  |  |  |  |  |
| 5) Claim(s) is/are allowed.  |  |  |  |  |  |  |
|  | 6)☐ Claim(s) is/are rejected.  |  |  |  |  |  |
| 7) Claim(s) is/are objected to.  |  |  |  |  |  |  |
| 8)⊠ Claim(s) <u>1-28 and 30-57</u> are subject to restriction  | on and/or election requirement.  |  |  |  |  |  |
| Application Papers   |  |  |  |  |  |  |
| 9)☐ The specification is objected to by the Examiner.  |  |  |  |  |  |  |
| 10)⊠ The drawing(s) filed on <u>30 May 2006</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.   |  |  |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |  |  |  |  |  |  |
| Replacement drawing sheet(s) including the correcti  | Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |  |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |  |  |  |  |  |  |
| Priority under 35 U.S.C. § 119   |  |  |  |  |  |  |
| 12)⊠ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).   |  |  |  |  |  |  |
| a)⊠ All b)□ Some * c)□ None of:  |  |  |  |  |  |  |
| ·— ·— ·—   | ·  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage  |  |  |  |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).  |  |  |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.   |  |  |  |  |  |  |
| Goo the attached dotailed Cines determen a list  | or the continue copies het receive   | <b>u</b> .   |  |  |  |  |
| Attachment(s)  |  |  |  |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)   | 4) Interview Summary   | (PTO-413)  |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date  |  |  |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO/SB/08)   | 5) Notice of Informal P  | atent Application                                      |  |  |  |  |
| Paper No(s)/Mail Date 6) L_ Other:   |  |  |  |  |  |  |

## **DETAILED ACTION**

## Election/Restrictions

1. Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I, claims 1-2,6-8, 24 (in part), 28, 30, 31-32 and 52 drawn to a purified nucleic acid sequence, a vector containing it, a recombinant host cell comprising it, a kit comprising the nucleic acid and a method of screening a subject for an infection, based on the use of the nucleic acid.

Group II, claims 3-5, 24 (in part), and 38 drawn to an isolated homologue of human IL-10 polypeptide of SEQ ID NO: 10, a kit comprising it, and a method of immunosuppression comprising administering the polypeptide.

Group III, claims 9-10, 23, 25, and 53-54, drawn to a ligand that selectively binds to the isolated homologue of human IL-10 polypeptide of SEQ ID NO: 10, a kit containing it and a method of treating a disease comprising administering the ligand to a subject.

Group IV, claims 11-14, drawn to a method of identifying a compound that interacts with the isolated homologue of human IL-10 polypeptide of SEQ ID NO: 10.

Group V, claims 15-16, 20-22 drawn to a method of screening for a compound that modulates the activity of the polypeptide SEQ ID NO: 10.

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Group VI, claims 17-19,26-27, 33-37, 39 and 55 drawn to a method of diagnosing a

disease state by assaying for expression of the polypeptide of SEQ ID NO:10.

Group VII, claims 40, 41 and 43-44 drawn to a vaccine and a method of inducing an

immune response comprising using the vaccine.

Group VIII, claims 42 and 56-57 drawn to a method of treatment of a disease

comprising administering a vaccine.

Group IX, claims 45-50, drawn to a method of cleansing a biological sample of an

infection by a herpes virus.

Group X, claim 51, drawn to a diagnosis method comprising using a ligand to detect the

presence of a polypeptide of SEQ ID NO: 10.

2. The inventions listed as Groups I-X do not relate to a single general inventive

concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or

corresponding special technical features for the following reasons: there are three

products claimed and multiple methods of use. The first product is a nucleic acid, the

second is a protein and the third is a ligand that binds the protein. The inventions where

grouped such as the first group comprises the first product claimed and a method of

use.

3. This application contains claims directed to more than one species of the generic

invention. These species are deemed to lack unity of invention because they are not so

linked as to form a single general inventive concept under PCT Rule 13.1.

The species are as follows:

SEQ ID NO: 1-9 (nucleic acid sequences);

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SEQ ID NO: 10 (Polypeptide sequences);

a ligand that binds to the polypeptide of SEQ ID NO: 10.

Applicant is required, in reply to this action, to elect a single species (identified by

a SEQ ID NO if appropriate) to which the claims shall be restricted if no generic claim is

finally held to be allowable. The reply must also identify the claims readable on the

elected species, including any claims subsequently added. An argument that a claim is

allowable or that all claims are generic is considered non-responsive unless

accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration

of claims to additional species which are written in dependent form or otherwise include

all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims

are added after the election, applicant must indicate which are readable upon the

elected species. MPEP § 809.02(a).

The claims are deemed to correspond to the species listed above in the following 4.

manner:

Nucleic acid sequences:

• SEQ ID NO: 1: 1-2, 6-8, 24, 28, 30, 40-42, 52, 56-57.

• SEQ ID NO: 2-9: 31-32

Polypeptide sequences: SEQ ID NO: 10: 3-5, 11-22, 24, 38, 40-44.

Ligands: 9, 10, 23, 24, 25, 26-27, 33-37, 39, 40-51 and 53-54.

There is no generic claim.

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5. The species listed above do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: they have different structures and properties.

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Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species or invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention or species may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

- 6. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).
- 7. The examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and the product claims are subsequently found allowable, withdrawn process claims that depend from or otherwise require all the limitations of the allowable product claim will be considered for rejoinder.

<u>All</u> claims directed to a nonelected process invention must require all the limitations of an allowable product claim for that process invention to be rejoined.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103 and 112. Until all claims to the elected product are found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowable product claim will not be rejoined. See MPEP § 821.04(b). Additionally, in order to retain the right to rejoinder in accordance with the above policy, applicant is advised that the process claims should be amended during prosecution to require the limitations of the product claims. Failure to do so may result in a loss of the right to rejoinder. Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ELLY-GERALD STOICA whose telephone number is (571)272-9941. The examiner can normally be reached on 9:00-18:30 M-Th and 9:00-18:30 alternate F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary B. Nickol can be reached on (571) 272-0835. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Elly-Gerald Stoica/ Examiner, Art Unit 1647

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